

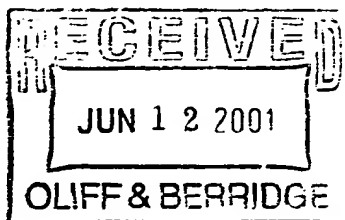
**COPIES SENT TO CLIENT** 6/12/01 *ms*

## UNITED STATES PATENT AND TRADEMARK OFFICE

D/AOAZ7

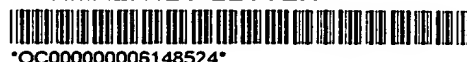
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/821,025	03/30/2001	Ed Chi	108546

27074  
OLIFF & BERRIDGE, PLC.  
P.O. BOX 19928  
ALEXANDRIA, VA 22320

CONFIRMATION NO. 5532

## FORMALITIES LETTER



\*OC000000006148524\*

August 5, 2001

Date Mailed: 06/05/2001

Missing Parts

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice **MUST** be returned with the reply.

**DOCKETED**  
By *PAR* on *6/12* 2001  
By *MYN* and *on 6/13* 2001  
*Oliff & Berridge*

5/



PATENT APPLICATION

Sector #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Ed H. CHI et al.

Attn: Applications Branch

Application No.: 09/821,025

Docket No.: 108546

Filed: March 30, 2001

For: SYSTEMS AND METHODS FOR COMBINED BROWSING AND SEARCHING  
IN A DOCUMENT COLLECTION BASED ON INFORMATION SCENT

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**DECLARATION ALREADY FILED**

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, filing date granted, mailed June 5, 2001 (copy attached), the executed Declaration of the inventor(s) and payment of the surcharge that were filed on June 11, 2001, a copy of the executed Declaration as filed, a copy of the Transmittal Letter and a copy of the stamped postcard receipt are attached hereto. Also attached are 8 sheets (Figs. 1-10) of Formal Drawings.

Entry of these documents should complete all of the filing formalities. Accordingly, prompt issuance of a Filing Receipt, and prompt examination and allowance of this application are respectfully solicited.

Respectfully submitted,

James A. Oliff  
Registration No. 27,075

Christian Austin-Hollands  
Registration No. 46,527

JAO:CAH/cca

Date: July 18, 2001

**OLIFF & BERRIDGE, PLC**  
**P.O. Box 19928**  
**Alexandria, Virginia 22320**  
**Telephone: (703) 836-6400**

DEPOSIT ACCOUNT USE  
AUTHORIZATION  
Please grant any extension  
necessary for entry;  
Charge any fee due to our  
Deposit Account No. 15-0461